

10/587250

IAP11 Rec'd PCT/PTO 26 JUL 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Takashi NISHIHARA et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_1135A
Filed July 26, 2006 :
INFORMATION RECORDING MEDIUM :
AND METHOD FOR MANUFACTURING :
THE SAME :
[Corresponding to PCT/JP2005/016324
Filed September 6, 2005]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

ATTACHMENT D

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before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ an International Search Report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

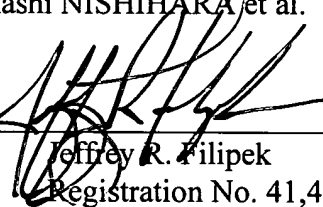
JP 2004-311011 corresponds to US 2004/0191686
JP 2004-206851 corresponds to US 2004/0115559
JP 2004-310992 corresponds to US 2004/0191683
WO 03/044788 corresponds to US 2004/0202895
JP 2003-341241 corresponds to US 2003/0190447

5. ☒ an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Takashi NISHIHARA et al.

By



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July 26, 2006

FORM PTO 1449 (modified)

ATTY DOCKET NO.
2006_1135ASERIAL NO.
NEWU.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 26, 2006

APPLICANT
Takashi NISHIHARA et al.FILING DATE
July 26, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2004/0191686	9-30-04	Kitaura et al.			
	AB	2004/0115559	6-17-04	Kato et al.			
	AC	2004/0191683	9-30-04	Nishihara et al.			
	AD	2004/0202895	10-14-04	Inoue et al.			
	AE	2003/0190447	10-9-03	Kojima et al.			
	AF	2004/0047281	3-11-04	Nishihara et al.			
	AG	2004/0048029	3-11-04	Nishihara et al.			
	AH	6,153,063	11-28-00	Yamada et al.			
	AI	6,503,690	1-7-03	Uno et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	2004-311011	11-4-04	Japan			corresponds to AA
	AK	2004-164850	6-10-04	Japan			abstract
	AL	2004-206851	7-22-04	Japan			corresponds to AB
	AM	2004-310992	11-4-04	Japan			corresponds to AC
	AN	03/044788	5-30-03	WO			corresponds to AD
	AO	11-339314	12-10-99	Japan			abstract
	AP	2003-341241	12-3-03	Japan			corresponds to AE
	AQ	2004-273040	9-30-04	Japan			abstract
	AR	2004-5767	1-8-04	Japan			abstract
	AS	10-275360	10-13-98	Japan			abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

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2006_1135ASERIAL NO.
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GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,821,707	11-23-04	Uno et al.			
	AB	6,456,584	9-24-02	Nagata et al.			
	AC	6,670,014	12-30-03	Nishihara et al.			
	AD	6,743,496	6-1-04	Nishihara et al.			
	AE	6,794,006	9-21-04	Nishihara et al.			
	AF	6,890,613	5-10-05	Nishihara et al.			
	AG	2005/0089799	4-28-05	Otoba et al.			
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	0 825 595	2-25-98	Europe			x	
	AK	0 897 177	2-17-99	Europe			x	
	AL	2000-36130	2-2-00	Japan			abstract	
	AM	0 957 477	11-17-99	Europe			x	
	AN	2002-144736	5-22-02	Japan			abstract	
	AO	1 187 119	3-13-02	Europe			x	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.